

國立中央大學

企業管理學系博士班研究生修業辦法

National Central University Department of Business Administration Ph.D. Program Guidelines

第一條

本辦法依本校「博士班、碩士班研究生學位考試細則」規定訂定之。

These Regulations are established in accordance with the National Central University Regulations on Degree Examinations for Postgraduate Students.

第二條

最低畢業學分數為 28 學分。

The minimum number of credits required for graduation is 28 credits.

第三條

最低修業年限：取得博士班學籍後須修業滿 31 個月。(註 1)

Minimum duration of study: After obtaining doctoral student status, students must complete a minimum of 31 months of study. (Note 1)

第四條

課程

一、指導教授得要求學生補修企業管理相關課程，而補修課程不計入畢業學分。

二、必修、必選科目：必修含研究方法論(一)(二)共 6 學分，研究論文寫作(一)(二)共 6 學分，書報討論課(一)(二)(三)(四)共 4 學分，以及必選所屬專長組別之研究專題 (BA8080 財務管理研究專題、BA8050 行銷管理研究專題、BA8091 生產與作業管理研究專題、BA8041 策略管理研究專題、BA8061 人力資源管理研究專題、BA8070 資訊管理研究專題) 3 學分，共計 19 學分。

三、人力資源管理組除第四條第二項規定外，必選修 BA7095 組織行為專題 3 學分。

四、論文專業選修科目：指導教授指定本系開授之選修課程至少兩科以上。其他跨系或跨校選修博士班課程，須先經指導教授同意後方可修習，非本系開授課程最多採計一門課為畢業學分。(註 4)

五、逕修讀博士學位學生應於取得博士班學籍後，修畢博士班課程至少 28 學分。

Curriculum

1. Advisors may require students to take additional courses related to business administration. Such additional courses shall not count toward the credits required for graduation.

2. Required and required-elective courses: Required courses include Research Methodology I (BA8001) and II (BA8002) (6 credits in total), Independent Study I (BA8007) and II (BA8008) (6 credits in total), Ph.D. Seminar I (BA8009), II (BA8011), III (BA8012), and IV (BA8013) (4 credits in total), and one required elective course in the student's specialization group: Seminar on Financial Management (BA8080), Seminar on Marketing Management (BA8050), Seminar on Production and Operation Management (BA8091), Seminar on Strategic Management (BA8041), Seminar on Human Resource Management (BA8061), or Seminar on Information Management (BA8070), worth 3 credits. These courses total 19 credits.
3. In addition to the requirements under Paragraph 2, students in the Human Resource Management group must take Seminar on Organizational Behavior (BA7095) for 3 credits as a required elective.
4. Elective courses: The advisor shall designate at least two elective courses offered by the Department. Other doctoral-level elective courses offered by another department or another university must first be approved by the advisor. A maximum of one course not offered by the Department may be counted toward the credits required for graduation. (Note 4)
5. Students directly pursuing a doctoral degree shall complete at least 28 credits of doctoral-program courses after obtaining doctoral student status.

第五條

論文指導規定

論文指導教授資格依本校「學則」規定辦理。

學生入學後不得更換組別，指導教授以本系專長組專任老師為限。本系教師專長組別分類依指導學生入學當年登記為主。惟若所屬專長組老師因故無法指導時，得經系務會議決議，執行之。

學生在學期間若遇指導教授退休或離職者，應有一名本系專任教師共同指導。(註 4)

修讀研究論文寫作(一)、(二)前，須繳交「論文指導同意書」。若更換指導教授須繳交「終止論文指導同意書」。(註 4)

學位論文相似度比對標準：扣除引言、參考文獻清單、目錄及附件後，論文相似度應在 20%(含)以下，如未符合系訂標準，須敘明具體理由並經指導教授確認，始得辦理離校手續，惟仍不得大於 35%。特殊個案經系上相關會議通過，教務長簽准同意者除外。(註 4)

學位論文之題目及內容應契合本系專業研究領域。(註 4)

Thesis Supervision Guidelines

The qualifications of thesis advisors shall be governed by the National Central University Study Regulations. Students may not change their specialization group after admission. The thesis advisor shall be a full-time faculty member of the Department in the student's specialization group. The classification of faculty members into specialization groups shall be based on the registration in the year of the student's admission. If a faculty member in the student's specialization group is unable to supervise the student for any reason, the matter may be resolved by the Department Council.

If the student's thesis advisor retires or leaves the university during the student's period of study, the student shall have a full-time faculty member of the Department as a co-supervisor. (Note 4)

Before enrolling in Independent Study I and II, students shall submit a "Thesis Supervision Agreement." If the student changes their thesis advisor, a "Termination of Thesis Supervision Agreement" shall be submitted. (Note 4)

Thesis similarity standard: After excluding the introduction, reference list, table of contents, and appendices, the similarity index of the thesis shall be 20% or lower. If the thesis does not meet the departmental standard, the

student shall provide specific reasons and obtain confirmation from the thesis advisor before completing the procedures for leaving the University. The similarity index shall nevertheless not exceed 35%. Special cases approved by the relevant departmental meeting and authorized by the Dean of Academic Affairs are exempt from this requirement. (Note 4)

The title and content of the dissertation shall be consistent with the Department's professional research fields. (Note 4)

第六條

期刊論文發表

一、相關論文發表規定，規定於「國立中央大學企業管理學系博士班研究生期刊論文發表要點」，以下簡稱「期刊論文發表要點」。

二、申請博士畢業資格審核應提送博士學位考試資格審查委員會審查通過始得申請博士學位考試。投稿於下列期刊者，提出相關證明，可不需經審查委員會審議。(註 2)

1. 國科會推薦之 SSCI 期刊或 SCIE 期刊或 TSSCI 期刊。
2. 管院訂定之期刊(國立中央大學管理學院教師升等代表著作發表之重要期刊清單或國立中央大學管理學院傑出類期刊或管理學院學生研究成果發表績效獎金期刊獎勵審定標準)。
3. 國科會財務或會計領域期刊評比 B+以上(含)之期刊論文(財管組適用)。

Journal Publications

1. The relevant regulations for journal publications are stipulated in the "NCU Business Administration Department Ph.D. Student Publication Guidelines," hereinafter referred to as the "Publication Guidelines."
2. To apply for the review of Graduation Eligibility Evaluation, students must submit their application to and obtain approval from the Doctoral Degree Examination Qualification Review Committee before applying for the doctoral degree examination. Students who submit articles to any of the following journals may provide relevant supporting documentation and may be exempt from review by the committee. (Note 2)
 - a. SSCI, SCIE, or TSSCI journals recommended by the National Science and Technology Council (NSTC).
 - b. Journals specified by the College of Management (Please see the School of Management website：國立中央大學管理學院教師升等代表著作發表之重要期刊清單、國立中央大學管理學院傑出類期刊、管理學院學生研究成果發表績效獎金期刊獎勵審定標準).
 - c. Journal ranked B+ or higher in the NSTC evaluation of journals in the fields of finance or accounting (applicable to the Finance Management group).

第七條

博士候選人資格考核

一、學生應於修業第八學期結束前通過資格考核，否則應予退學。(註 3)

二、學生於修畢畢業學分，並有一篇符合前述「期刊論文發表要點」第三條規定之期刊論文被接受並經指導教授初審通過及系主任複審通過後，即可取得博士候選人資格。

Ph.D. Candidacy Evaluation

1. Students must pass the candidacy evaluation by the end of their eighth semester of study; otherwise, they shall

be dismissed from the University. (Note 3)

2. After completing the required graduation credits and having one journal article accepted that meets the requirements of Article 3 of the aforementioned “Publication Guidelines,” students may obtain doctoral candidacy upon approval by the thesis advisor in the initial review and the Department Chair in the secondary review.

第八條

學生學位考試申請資格審查：符合下列要件，始可提出博士學位考試申請。

一、取得博士候選人資格。

二、符合「期刊論文發表要點」規定，經指導教授初審通過及博士學位考試資格審查委員會根據系上規定複審通過博士畢業資格審核。

三、論文初稿符合本校「研究生畢業論文格式條例」，並經指導教授同意。

Eligibility Review for Doctoral Degree Examination Application

Students may apply for the doctoral degree examination only after meeting the following requirements:

1. Obtaining doctoral candidacy.

2. Complying with the “Publication Guidelines,” obtaining initial approval from the thesis advisor, and passing the final review of Graduation Eligibility Evaluation by the Doctoral Degree Examination Qualification Review Committee in accordance with the Department’s requirements.

3. The first draft of the dissertation conforms to the University’s “Thesis Format Regulations” and has been approved by the thesis advisor.

第九條

逕修讀博士學位學生，因故終止修讀博士學位、未通過博士學位候選人資格考核、或未能通過博士學位考試者，得依「國立中央大學學生逕修讀博士學位辦法」之相關規定辦理。

Students admitted to directly pursue a doctoral degree who, for any reason, terminate their doctoral studies, fail the doctoral candidacy evaluation, or fail the doctoral degree examination may proceed in accordance with the relevant provisions of the “Guidelines Governing Direct Admission into Doctoral Programs at National Central University.”

第十條

本辦法如有未盡事宜，依教育部及本校有關規定辦理。

Any matters not covered by these Regulations shall be handled in accordance with the relevant regulations of the Ministry of Education and the University.

第十一條

本辦法經系務會議及院課程委員會審議通過，送教務會議核備後實施，修正時亦同。

These Regulations shall take effect after being approved by the Department Council and the College Curriculum Committee and submitted to the Academic Affairs Council for ratification. The same procedure shall apply to any amendments.

註 Notes

註 1：追溯至 100 學年度起入學之在學博士生適用。

Note 1: Applicable retroactively to doctoral students enrolled from the 2011 academic year onward who are currently enrolled.

註 2：追溯至本系在學學生皆適用(於 111.02.28 前投稿者不適用)。

Note 2: Applicable retroactively to all currently enrolled students of the Department; not applicable to articles submitted before February 28, 2022.

註 3：追溯至現有在學學生適用。截至 1102 學期已入學，尚未通過博士候選人資格考核之學生可選擇新制或舊制資格考核標準，若選擇舊制資格考核標準者，得依舊制之「博士班研究生期刊論文發表要點」第一條第一點及第三條第四點規定執行。

Note 3: Applicable retroactively to currently enrolled students. Students who had enrolled by the end of the 2021–2022 academic year and had not yet passed the doctoral candidacy evaluation may choose either the new or old candidacy evaluation standards. Students choosing the old standards may follow Article 1, Paragraph 1 and Article 3, Paragraph 4 of the former “Ph.D. Student Publication Guidelines.”

註 4：追溯至現有在學學生適用。

Note 4: Applicable retroactively to currently enrolled students.